

For Six Month Period Ending 11/1/85

(Insert date)

Name of Registrant H. William Tanaka d/b/a Registration No. 948

Tanaka Ritger & Middleton

Business Address of Registrant

1919 Pa. Ave., N.W., #303
Washington, D.C. 20006

I-REGISTRANT

1. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:

(1) Residence address	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(2) Citizenship	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(3) Occupation	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

(b) If an organization:

(1) Name	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(2) Ownership or control	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(3) Branch offices	Yes <input type="checkbox"/>	No <input type="checkbox"/>

2. Explain fully all changes, if any, indicated in item 1.

The name of the law firm has changed
from Tanaka Walders & Ritger to Tanaka Ritger & Middleton effective October 1,
1985.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, and 5.

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☐ No ☐

N/A

If yes, furnish the following information:

Name

Position

DEC 2 1985
U.S. DEPT. OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
RECEIVED
DATE CONNECTION ENDED

4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?
Yes ☐ No ☐

If yes, furnish the following information: N/A

<i>Name</i>	<i>Residence Address</i>	<i>Citizenship</i>	<i>Position</i>	<i>Date Assumed</i>
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5. Has any person named in Item 4 rendered services directly in furtherance of the interests of any foreign principal?
Yes ☐ No ☐

N/A
If yes, identify each such person and describe his services.

6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☐

If yes, furnish the following information:

<i>Name</i>	<i>Position or connection</i>	<i>Date terminated</i>
Lawrence R. Walders	Attorney	9/30/85

7. During this 6 month reporting period, have any persons been hired as employees or in any other capacity by the registrant who rendered services to the registrant directly in furtherance of the interests of any foreign principal in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Position or connection</i>	<i>Date connection began</i>
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II—FOREIGN PRINCIPAL

(PAGE 3)

8. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ X No ☐

If yes, furnish the following information:

Name of foreign principal

Date of Termination

European Space Agency

8/30/85

-
9. Have you acquired any new foreign principal¹ during this 6 month reporting period? Yes ☐ X No ☐

If yes, furnish following information:

Name and address of foreign principal

Date acquired

European Space Agency
8-10 rue Mario Nikis
75738 Paris Cedex 15, France

5/6/85

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10. In addition to those named in Items 8 and 9, if any, list the foreign principals¹ whom you continued to represent during the 6 month reporting period.

SEE APPENDIX A

III—ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8, 9, and 10 of this statement? Yes ☐ X No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

SEE APPENDIX A

¹The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity² as defined below?
 Yes ☐ No ☒

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

²The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV—FINANCIAL INFORMATION

14. (a) RECEIPTS—MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.³

<i>Date</i>	<i>From Whom</i>	<i>Purpose</i>	<i>Amount</i>
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SEE APPENDIX A

Total

(b) RECEIPTS—THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁴ other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes ☐ No ☒

If yes, furnish the following information:

<i>Name of foreign principal</i>	<i>Date received</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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³A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).

⁴Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS—MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

<i>Date</i>	<i>To Whom</i>	<i>Purpose</i>	<i>Amount</i>
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SEE APPENDIX A

			Total
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15. (b) DISBURSEMENTS—THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value⁵ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in items 8, 9 and 10 of this statement?

Yes ☐ No ☒ X

If yes, furnish the following information:

<i>Date disposed</i>	<i>Name of person to whom given</i>	<i>On behalf of what foreign principal</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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(c) DISBURSEMENTS—POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value⁵ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐ No ☐

If yes, furnish the following information:

<i>Date</i>	<i>Amount or thing of value</i>	<i>Name of political organization</i>	<i>Name of candidate</i>
4/29/85	250.00	Mineta for Congress	Norman Mineta
5/17/85	300.00	Keep Kastenmeier in Congress	Kastenmeier
5/22/85	300.00	Matsui for Congress	Robert Matsui
6/21/85	500.00	Frenzel " "	Bill Frenzel
6/21/85	500.00	Committee for Sam Gibbons	Sam Gibbons
6/21/85	500.00	John J. Duncan Campaign	John Duncan
7/8/85	250.00	Mineta for Congress	Norman Mineta

V—POLITICAL PROPAGANDA

(Section 1(j) of the Act defines "political propaganda" as including any oral, visual, graphic, written, pictorial, or other communication or expression by any person (1) which is reasonably adapted to, or which the person disseminating the same believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, or in any other way influence a recipient or any section of the public within the United States with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party or with reference to the foreign policies of the United States or promote in the United States racial, religious, or social dissensions, or (2) which advocates, advises, instigates, or promotes any racial, social, political, or religious disorder, civil riot, or other conflict involving the use of force or violence in any other American republic or the overthrow of any government or political subdivision of any other American republic by any means involving the use of force or violence.)

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any political propaganda as defined above? Yes ☒ No ☐

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

17. Identify each such foreign principal. Electronic Industries Association of Japan

⁵ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of political propaganda include the use of any of the following:

- ☒ Radio or TV broadcasts ☒ Magazine or newspaper articles ☐ Motion picture films ☐ Letters or telegrams
☐ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches
☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups:

- ☒ Public Officials ☒ Newspapers ☐ Libraries
☐ Legislators ☐ Editors ☐ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☐ Other (specify) — Gray & Company (Public Relations Firm)

21. What language was used in this political propaganda:

- ☒ English ☐ Other (specify) _____

22. Did you file with the Registration Section, U.S. Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period? Yes ☒ No ☐

23. Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act? Yes ☒ No ☐

24. Did you file with the Registration Section, U.S. Department of Justice, a Dissemination Report for each item of such political propaganda material as required by Rule 401 under the Act? Yes ☒ No ☐

VI—EXHIBITS AND ATTACHMENTS

25. EXHIBITS A AND B

- (a) Have you filed for each of the newly acquired foreign principals in Item 9 the following:

Exhibit A⁶ Yes ☒ No ☐
 Exhibit B⁷ Yes ☒ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

⁶The Exhibit A, which is filed on Form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.

⁷The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

26. EXHIBIT C

If you have previously filed an Exhibit C⁸, state whether any changes therein have occurred during this 6 month reporting period. Yes ☐ No ☐

N/A

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

27. SHORT FORM REGISTRATION STATEMENT N/A

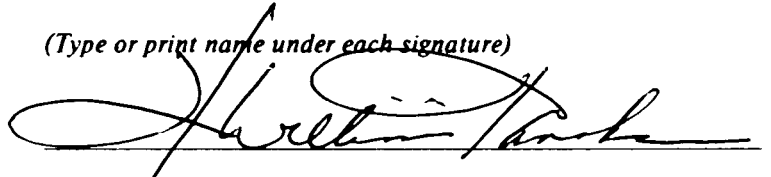
Have short form registration statements been filed by all of the persons named in Items 5 and 7 of the supplemental statement? Yes ☐ No ☐

If no, list names of persons who have not filed the required statement.

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form Registration Statement, if any, insofar as such information is not within his (their) personal knowledge.

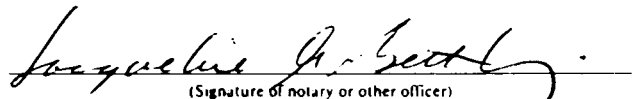
(Type or print name under each signature)

(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)


H. William Tanaka

Subscribed and sworn to before me at District of Columbia

this 22 day of November, 19 85


(Signature of notary or other officer)
Jacqueline A. Bertling
8/14/87

⁸The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U. S. Department of Justice, Washington, D.C. 20530.)

III-ACTIVITIES

Item No. 11:

Electronic Industries Association of Japan:

Registrant and/or staff reviewed, analyzed and reported on U.S. trade market and industry developments respecting consumer electronic products, semiconductors and computers based on newspapers, trade publications, studies by private consultants, U.S. government publications, including review and analysis of all consumer electronics litigation and lobbying and other activities involving semiconductors, etc.

Registrant and/or staff monitored, reviewed and analyzed and advised client on pending trade bills and legislative actions thereon.

With respect to chip mask legislation, registrant and/or staff reviewed, analyzed and advised client concerning administrative proceedings in Copyright, Patent and Trademark offices. Reviewed, analyzed and advised on pending and anticipated legislative proposals concerning copyright status of home recordings, and advised on developments and planning of public relations.

On August 26, 1985 and on August 27, 1985 registrant participated as moderator/spokesman at the press conferences on behalf of EIA-J in connection with publicizing to the TV and press media, EIA-J's views contained in its legal brief filed with the USTR's office in connection with the Section 301 proceedings on semiconductors initiated by the Semiconductor Industries Association. No written materials other than the EIA-J brief were distributed by registrant. Those EIA-J briefs distributed by the registrant have been reported on our dissemination report.

Japan Automobile Manufacturers Association:

On the basis of review of trade publications and press and media informational flow and monitoring of congressional hearings on matters relating to automobiles, registrant and/or staff furnished summary reviews of developments both here in Washington and elsewhere in the U.S. of interest to the client and its members. Also attended and monitored DOT NHTSA press conferences.

Registrant and/or staff, from time to time, provided legal advice on various treaties and laws and reported subject matters, including developments within the U.S. auto industry, labor union activities and U.S. government relations with the Japanese government respecting the automobile issue.

Japan Export Metal Flatware Association and The Japan General Merchandise Exporters' Association:

Registrant and/or staff provided general counseling and informational services in connection with the importation and marketing of stainless steel flatware and other cutlery items, including customs duty matters and monitoring and analysis of Congressional actions respecting bills relating to imports and recent escape clause decisions.

The Japan Pottery Exporters' Association:

Registrant and/or staff provided informational services on ceramic wares, including FMC shipping regulations and legal advice on contracts.

Onoda Cement Co., Ltd.:

Registrant and/or staff have provided general legal and informational services, particularly bearing on U.S.-Japan trade. Legal and counseling services have been rendered with respect to the antidumping laws and antidumping investigations involving Japanese cement exports to the U.S.

Japan Trade Center:

Registrant and/or staff furnished legal and informational services respecting developments of interest to the client, including U.S. bicycle industry and congressional measures introduced in U.S., exports/imports of bicycles and parts thereof. Specifically monitored, analyzed and reported on certain bicycle tariff bills. Registrant also provided legal advice on various laws relating to trade in general.

Embassy of Japan:

Registrant and/or staff rendered general legal and informational services respecting selective developments in bilateral trade related problems involving Japan and otherwise provided legal advice in response to inquiries.

REC 2 9 57 PM '85
U.S. DEPT. OF COMMERCE
OFFICE OF LEGAL ATTACH
WASHINGTON, D.C.

ITEM 11 Page 2 (Continued):

Flat Glass Association of Japan:

Registrant and/or staff have provided general information bearing on U.S.-Japan trade and U.S. administrative and legislative developments affecting Japanese flat glass exports. Legal and counseling services have been rendered with regard to the antidumping laws, custom laws, and safety laws and the respective regulations implementing such laws as they apply to the importation and marketing of Japanese flat glass products in the U.S.

Japan Bearing Industrial Association:

Registrant and/or staff provided general advisory and informational services and monitored trade and regulatory developments. Rendered legal advice and monitored and reported on the Section 332 ITC investigation on bearings

The Japan Automobile Tire Manufacturers Association:

Registrant and/or staff provided legal and informational services and analysis on activities under trade laws which may affect the marketing of tires in the U.S., including providing general or specific information with regard to trend and practice under principal U.S. trade laws. Also, furnished analytical information on significant industry/market developments.

European Space Agency:

Registrant and/or staff provided legal advice and representation with respect to proceedings before the USTR's office and related U.S. government space policies.

IV - FINANCIAL INFORMATION

Item No. 14(a) Receipts-Monies:

<u>Date:</u>	<u>From Whom:</u>	<u>Purpose:</u>	<u>Amount:</u>
4/25/85-10/24/85	Embassy of Japan	Legal/Informational Services	\$ 15,000.00
"	EIA-J	"	47,676.32
"	European Space Agency	"	27,143.56
"	Flat Glass Association	"	3,500.00
"	JATMA	"	20,000.00
"	JAPEX	"	7,000.00
"	Japan Export Metal Flatware Assn/ Japan Genl. Merchandise Assn.	"	3,500.00
"	Onoda Cement	"	5,500.00
			<hr/>
			\$ 129,319.88
			=====

ITEM NO. 15(a)	TO WHOM:	PURPOSE:	AMOUNT (Est):
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Electronic Industries Assn. of Japan (EIAJ):

4/25/85 - 10/24/85	Pitney Bowes	Postage	\$ 170.00
	American Express	Hotel/Airfare	9,200.00
	(7/10/85 travel to New Haven, CT(to talk with software dealers; 8/13-17 travel to Tokyo, Japan (to confer with client); 8/27/85 travel to San Francisco, CA (to attend press conference)		

	American Express	Meals	1,100.00
	Various Publishers	Publications	50.00
	Xerox	Duplicating	1,100.00
	C&P/LDS	Telephone	1,700.00
	Taxi/Subway	Local travel	100.00
	OCS/All State	Delivery	1,300.00
Salaries of:			135,500.00
H.W. Tanaka, B. Jenkins Middleton, Patrick O'Leary, Lawrence Walders, Robert Schwartz, Michele Tanaka, Robert Russell and James Davenport			

Japan Automobile Manufacturers Assn. (JAMA):

4/25/85 - 10/24/85	LDS/C&P	Telephone	190.00
	American Express	Hotel/Airfare	550.00
	OCS/All State	Delivery	15.00
	Xerox	Duplicating -	20.00
	Taxi/subway	local travel	40.00
	Automotive News	Seminar	400.00
Salaries of:			31,600.00
H.W. Tanaka, B.J. Middleton, Patrick O'Leary, Michele Tanaka, Lawrence Walders, Robert Schwartz, James Davenport, Robert Russell			

Japan Pottery Exporters' Assn. (JAPEX):

4/25/85 - 10/24/85	All State/OCS	Delivery	60.00
	Xerox	Duplicating	10.00
	Salary of Michele Tanaka, H.W. Tanaka		2,150.00

Onoda Cement Co., Ltd.:

4/25/85 - 10/24/85	Salaries of P.O'Leary, H.W. Tanaka, James Davenport		\$ 700.00
			=====

ITEM NO. 15(a): TO WHOM: PURPOSE: AMOUNT (Est):

Japan Bearing Industrial Assn:

4/25/85 - 10/24/85 Pitney Bowes Postage \$ 50.00
C&P/LDS Telephone 210.00
OCS/All State Delivery 150.00
Xerox Duplicating 30.00
Salaries of H.W. Tanaka, P.F. O'Leary, Michele Tanaka, James Davenport 9,200.00
Flat Glass Association: \$ 9,640.00
4/25/85 - 10/24/85 Salary of Patrick O'Leary, H.W. Tanaka \$ 1,175.00

Japan Export Metal Flatware Industry/Japan Genl. Merchandise Assn.:

4/25/85 - 10/24/85 Salary of James Davenport \$ 50.00
The Japan Automobile Tire Manufacturers Assn. (JATMA):
4/25/85 - 10/24/85 Xerox Duplicating 220.00
OCS/All State Delivery 200.00
Salaries of P. O'Leary, Michele Tanaka, L. Walders, James Davenport 18,600.00
Embassy of Japan: \$ 19,020.00

4/25/85 - 10/24/85 American Express Meals 180.00
Pitney Bowes Postage 100.00
All State/OCS Delivery 15.00
Xerox Duplicating 10.00
Salaries of Michele Tanaka, H.W. Tanaka, P. O'Leary, L. Walders, R. Schwartz, James Davenport, Robert Russell 15,700.00

Japan Trade Center:

4/25/85 - 10/24/85 All State Delivery 30.00
Xerox Duplicating 20.00
C&P/LDS Telephone 15.00
Salaries of H.W. Tanaka, Michele Tanaka, James Davenport 4,100.00
European Space Agency (ESA): \$ 4,165.00
4/25/85 - 10/24/85 Xerox All State/Federal Express 95.00
LDS/C&P Delivery 45.00
Salary of B. Jenkins Middleton Telephone 220.00
\$ 12,885.00